

I would like to strongly recommend the passage of Regulatory Notice 18-08. As an owner of an SEC RIA, this rule makes absolute sense. I have always questioned why FINRA would look into an SEC regulated firm. This equates to a local city police department investigating the FBI. It creates double the amount of work while providing no protection. While I'm sure the special interests will petition hard to have this rule revoked, I am imploring you to pass the rule as currently written.

Kindest Regards,
-Will

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