

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS**

In the Matter of

ALLIED MILLENNIAL PARTNERS, LLC

and

DANIEL PETER SOLAKIAN

Rule 9700 Proceeding
No. 97002201

STAR No. 20220773242

Hearing Officer-RES

**ORDER GRANTING IN PART AND DENYING IN PART DEPARTMENT OF
ENFORCEMENT'S MOTION TO CONTINUE HEARING DATE AND AMEND
PRE-HEARING SCHEDULE**

The hearing in this expedited proceeding is currently scheduled for March 17, 2023. The Department of Enforcement has moved to continue the hearing and amend the pre-hearing schedule ("Motion"). In support of its Motion, Enforcement points out that its motion to dismiss this proceeding is fully briefed and sub judice. Under FINRA Rules 9750 and 9760, I must submit my ruling on Enforcement's motion to dismiss to the Review Subcommittee of the National Adjudicatory Council, which has 21 days to decide whether to call my ruling for review. Thus, it is unknown whether the ruling will be called for review before pre-hearing submissions are due on February 24, 2023.

Enforcement contends that a continuance is needed because it would be inefficient and wasteful for the parties and the Hearing Officer to expend time and resources filing and serving pre-hearing submissions, and presenting a case, if it is determined that the case was never properly brought in the first place. Applicants Allied Millennial Partners, LLC and Daniel Solakian oppose the Motion on the ground, among others, that nothing has changed since the parties and the Hearing Officer agreed on the original hearing date and pre-hearing schedule.

I have considered the arguments of the parties and order that Enforcement's Motion is **GRANTED IN PART AND DENIED IN PART**. It would be a waste of resources for both parties and the Office of Hearing Officers if the parties have to file and serve pre-hearing submissions, only to have a ruling on Enforcement's motion to dismiss obviate the need to have a hearing. On the other hand, to continue the hearing and the pre-hearing schedule sine die, as Enforcement requests, would create an inordinate delay if the motion to dismiss is denied.

Thus, for good cause shown, the hearing is rescheduled to be held by videoconference on **April 7, 2023, beginning at 9:00 a.m., Eastern Time**. The Office of Hearing Officers will issue a separate notice with instructions for joining the hearing.

The pre-hearing schedule is amended as follows:

- March 17, 2023 Deadline for the parties to file with the Office of Hearing Officers and exchange by email their pre-hearing submissions, which shall include a list of all witnesses they expect to call at the hearing, a list of all exhibits they intend to offer, and any stipulations of facts. The parties must also provide copies of all exhibits they intend to introduce at the hearing using a secure file sharing platform. The Case Administrator will provide the parties with instructions for uploading their exhibits to the platform.
- Deadline for parties to file pre-hearing briefs as part of their pre-hearing submissions.
- The party presenting a witness shall ensure that the witness has, at the time of testifying, copies of all exhibits that relate to the witness's direct testimony, as well as any exhibits that the opposing party may designate for use on cross-examination. Additionally, the party presenting a witness shall have the witness available during a block of time when it is reasonable to expect that the witness will be called to testify at the hearing, so that the hearing is not unduly disrupted if the testimony of prior witnesses is longer or shorter than expected.
- April 5, 2023 Deadline for the parties to file with the Office of Hearing Officers a notarized affirmation, affidavit, or declaration from each testifying witness stating that his or her testimony at the hearing will be truthful.
- April 7, 2023 Hearing at 9:00 a.m. Eastern Time.

All other provisions in the Case Management and Scheduling Order issued on December 29, 2022, shall remain in effect.

SO ORDERED.


Richard E. Simpson
Hearing Officer

Dated: February 22, 2023

Copies to:

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