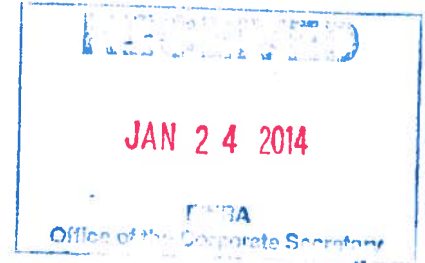


SWENEY CARTWRIGHT & Co.

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17 SOUTH HIGH STREET, SUITE 300
COLUMBUS, OHIO 43215
TELEPHONE (614) 228-5391

January 21, 2014



Marcia E. Asquith
Office of the Corporate Secretary
FINRA
1735 K Street
Washington D.C. 20006-1506

RE: Comment on CARDS concept proposal – Regulatory Notice 13-42

Dear Ms. Asquith,

This proposal would put an undue burden on small self clearing FINRA members.

In addition it invades the privacy of our customers without good reason.

There are better solutions with information both FINRA and NSCC already have.

Very Truly Yours,
Sweney Cartwright & Co.

By: Stephen Cartwright
President

SC/cw