

This Order has been published by FINRA's Office of Hearing Officers and should be cited as OHO Order 07-41 (ARB070050).

**FINANCIAL INDUSTRY REGULATORY AUTHORITY  
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

Respondent.

Expedited Proceeding  
No. ARB070050

STAR NO. 20070110417

Hearing Officer – SNB

**ORDER DEEMING REQUEST FOR HEARING ABANDONED AND TERMINATING  
EXPEDITED PROCEEDING AND NOTICE THAT RESPONDENT'S SUSPENSION IS  
IN EFFECT**

On October 25, 2007, Respondent requested a hearing in response to an October 2, 2007, Notice of Suspension for failure to comply with an arbitration award. Respondent's request was based on an inability to pay the award. On October 31, 2007, the Hearing Officer issued a scheduling order, requiring that Respondent produce financial documents to substantiate his defense of an inability to pay by November 15, 2007. Respondent did not comply with this requirement. On December 3, 2007, the Hearing Officer issued an Order to Show Cause as to why the proceeding should not be terminated. Respondent did not respond to the Order to Show Cause by the December 6, 2007 deadline. On December 7, 2007, an Initial Pre-Hearing Conference was held and Respondent did not make an appearance. Accordingly, pursuant to Rule 9559, Respondent's request for hearing is deemed abandoned, and the October 2, 2007,

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Notice from FINRA Dispute Resolution is hereby deemed to be the final FINRA action, and the instant proceeding is hereby terminated.

**SO ORDERED.**

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Sara Nelson Bloom  
Hearing Officer

Dated: December 7, 2007